FACTS

WHAT DOES MERISTEAD WEALTH ("MERISTEAD") DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the service(s) we provide to you. This information can include:

- Social Security number and Date of Birth
- Address, Occupation and income
- Other personal information you may provide to us.

How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Meristead chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Meristead share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes— to offer our products and services to you	YES	YES
For joint marketing with other financial companies	YES	YES
For our affiliates' everyday business purposes— information about your transactions and experiences	NO	N/A
For our affiliates' everyday business purposes— information about your creditworthiness	NO	N/A
For nonaffiliates to market to you	NO	N/A

To limit our sharing

- Mail the **form** below

Please note:

If you are a *new* customer, we can begin sharing your information 5 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Call 972.910.2750 or go to www.meristead.com

Mail-in Form

[If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.

☐ Apply my choices only to me

Mark any/all you want to limit:

☐ Do not allow your affiliates to use my personal information to market to me.

☐ Do not share my personal information with non-affiliates to market their products and services to me.

Name	
Address	
City, State, Zip	
Account #	

Mail to:

Meristead Wealth
3500 Maple Ave.
Suite 1165
Dallas, TX 75219

Who we are	
Meristead Wealth	
What we do	
How does Meristead protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
How does Meristead collect my personal information?	We collect your personal information, for example, when you ■ open an account ■ attend a webinar or seminar ■ fill out a form on our website ■ share personal information with SAM employees
Why can't I limit all sharing?	Federal law gives you the right to limit only ■ sharing for affiliates' everyday business purposes—information about your creditworthiness ■ affiliates from using your information to market to you ■ sharing for nonaffiliates to market to you State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account—unless you tell us otherwise.
Definitions	
Affiliates	Companies related by common ownership or control. They can be financial and nonfinancial companies. ■ *Holistic Wealth Advisors, LLC, Meristead Alternatives*
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies. ■ *Pershing, LLC, Northern Trust, EAS*
Joint marketing	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. ■ *None*
Other important information	